

IFW

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
RM449g

In Re Application Of: Mattson et al.

Serial No.

Filing Date

Examiner

Group Art Unit

10/797,837

3-10-2004

NGUYEN, THANH D.

3751

TITLE: ANTIMICROBIAL CHEMICAL DISPENSING FACEPLATE

Address to:

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

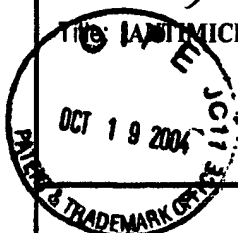
**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).





Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 1

### Complete if Known

Application Number	101797,837
Filing Date	3-10-2004
First Named Inventor	Roy Mattson
Art Unit	3751
Examiner Name	NGUYEN
Attorney Docket Number	DM4496

### U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US- 6,760,931	7-2004	MATTSON ET AL	
		US- 6,199,224	3-13-2001	VERSLAND	
		US- 6,238,575	5-29-2001	PATIL	
		US- 6,279,177	8-28-2001	GLODFT	
		US- 6,283,308	9-4-2001	PATIL ET AL	
		US- 6,289,530	9-18-2001	MILLEN ET AL	
		US- 5,919,534	7-6-1999	MATTSON ET AL	
		US- 6,317,903	11-20-2001	BRUNELLE ET AL	
		US- 6,357,060	3-19-2002	GLODFT	
		US- 6,395,167	5-28-2002	MATTSON JR ET AL	
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		US- 10,459,645		CRAIL SELOVER	
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		US- 5,405,644	9-11-1995	Ohsumi et al	

### FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		DE 1996 049 A1	6-21-2001	GERMANY		

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Date  
Considered

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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Sheet

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of

124

**Complete if Known**

Application Number

10/797,837

Filing Date

3-10-2004

First Named Inventor

Ray Mattson

Art Unit

3751

Examiner Name

NGUYEN

Attorney Docket Number

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		Number-Kind Code <sup>2</sup> (if known)			
		US- 5,204,004	4-20-1993	JOHANSTON ET AL	
		US- 4,933,178	6-12-1990	CAPELLI	
		US- 2003/0178374A1	9-25-2003	ARATA	
		US- 5,785,845	7-28-1998	COLAIAUO	
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		US- 4,552,658	11/12/1985	ANDERSON ET AL	
		US-			
		US-			
		US-			

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		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
		11-267414	1999	JAPAN		
		10-192847	1998	JAPAN		
		7-80218	1995	JAPAN		
		4-214150	1992	JAPAN		
		04-214150	1992	JAPAN		

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STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 3 of 14**Complete if Known**

Application Number	10/797,837
Filing Date	3-10-2004
First Named Inventor	ROG MATHISON
Art Unit	3751
Examiner Name	NGUYEN
Attorney Docket Number	RM449G

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Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US-4,637,873	1-20-1987	DESOUSA ET AL	
		US-4,676,894	6-30-1987	DIAMOND ET AL	
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		US-5,928,510	7-27-1999	MENEDITE	

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		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
		07-080218	1995	JAPAN		
		09-201300	1997	JAPAN		
		10-192847	1998	JAPAN		
		11-267414	1999	JAPAN		

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet

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of

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**Complete if Known**

Application Number

10/792.837

Filing Date

3-10-2004

**First Named Inventor**

Ray Mathew

Art Unit

3251

Examiner Name

NGUYEN

Attorney Docket Number

RM 4496

## U. S. PATENT DOCUMENTS

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		US-6,405,387	6-18-2002	BARNES	
		US-6,409,864	6-25-2002	CHOI	
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		US-6,214,212	4-10-2001	SILVERMAN	

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of

19

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10/797. 8.37

Filing Date

3-40-2004

First Named Inventor

Don't forget:

Art Unit

3751

Examiner Name

NGUYEN

Attorney Docket Number

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		US-6,214,217	4-10-2001	SLIGEN JR.	
		US-6,287,466	9-11-2001	YASSIN	
		US-6,342,841	1-29-2002	STINGI	
		US-6,358,425	3-19-2002	KING	
		US-6,394,167	5-21-2002	GRANICHENBERG	
		US-6,419,840	7-16-2002	MEYERKE	
		US-6,447,822	12-24-2002	BLACKBURN ET AL	
		US-6,514,605	1-28-2003	CONNELLY JR.	
		US-6,517,727	2-11-2003	PICKENS ET AL	
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		US- 553383	JAN 1896	BAILEY	
		US- 1428618	SEP 1922	WAGNER	
		US- 2194056	MAR 1940	BURGK	
		US- 2004898	JUNE 1940	LEE	
		US- 2552709	MAY 1951	CLEMENTS	
		US- 3027321	MAR 1962	SELM	
		US- 3294680	DEC 1966	LANCY	
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		US- 3873581	MAR. 1975	Fitzpatrick	
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		US- 3933635	NOV 1976	MARCHANT	
		US- 3976571	AUG 1976	RIO	

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Sheet

9

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18

**Complete if Known**

Application Number

10792835

Filing Date

3-10-2004

**First Named Inventor**

Res Mattson

**Art Unit**

3257

Examiner Name

NEUVEN

Attorney Docket Number

211499G

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		US-4416854	Nov 1983	NIELSON	
		US-4420463	Dec 1983	POCIOUS ET AL	
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		US-4610783	Sept 1986	Hudson	
		US-4786197	Oct 1988	Schuman	
		US-4816177	Mar. 1989	NELSON ET AL	
		US-4876003	Oct 1989	CASHERG	
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of

194

**Complete if Known**

Application Number

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3-10-2004

**First Named Inventor**

Reynolds, Matthew M

Art Unit

3257

**Examiner Name**

NEVER

Attorney Docket Number

RM 4498

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Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US- 3989623	NOV 1976	NEAL	
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**Complete if Known**

Application Number

10/792.837

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3-10-2004

**First Named Inventor**

Don't Math's on -

**Art Unit**

3251

**Examiner Name**

NOVEN

Attorney Docket Number

2M R01249G

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Examiner Initials*	Cite No.1	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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